

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

|    |                                                                                                                             |
|----|-----------------------------------------------------------------------------------------------------------------------------|
| 1. | Name of Listed Entity: NIVI Trading Limited                                                                                 |
| 2. | Scrip Code/Name of Scrip/Class of Security: 512245                                                                          |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)                                                 |
|    | a. If under 31(1)(b) then indicate the report for Quarter ending 31st December 2022                                         |
|    | b. If under 31(1)(c) then indicate date of allotment/extinguishment                                                         |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

|   | Particulars                                                                            | Yes* | No* |
|---|----------------------------------------------------------------------------------------|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 | Whether the Listed Entity has any shares in locked-in?                                 |      | No  |
| 5 | Whether any shares held by promoters are pledged or otherwise encumbered?              |      | No  |
| 6 | Whether Company has equity shares with differential voting rights?                     |      | No  |
| 7 | Whether the listed entity has any significant beneficial owner?                        | Yes  |     |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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**NIVI Trading Limited**

**Table I - Summary Statement holding of specified securities**

| Category | Category of shareholder       | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities |             |                         | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) | Number of Locked in shares |                                | Number of Shares pledged or otherwise encumbered |                                | Number of equity shares held in dematerialised form |
|----------|-------------------------------|----------------------|-----------------------------------------|------------------------------------------|----------------------------------------------|------------------------|---------------------------------------------------------------------------|----------------------------------------------------------|-------------|-------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------------|--------------------------------------------------|--------------------------------|-----------------------------------------------------|
|          |                               |                      |                                         |                                          |                                              |                        |                                                                           | No of Voting Rights                                      |             | Total as a % of (A+B+C) |                                                                                  |                                                                                                                      | No. (a)                    | As a % of total Shares held(b) | No. (a)                                          | As a % of total Shares held(b) |                                                     |
|          |                               |                      |                                         |                                          |                                              |                        |                                                                           | Class eg: X                                              | Class eg: y |                         |                                                                                  |                                                                                                                      |                            |                                |                                                  |                                |                                                     |
| (A)      | Promoter & Promoter Group     | 16                   | 933000                                  |                                          |                                              | 933000                 | 74.90                                                                     | 9,33,000.00                                              |             | 933000.00               | 74.90                                                                            | 0                                                                                                                    |                            |                                |                                                  |                                | 933000                                              |
| (B)      | Public                        | 13                   | 312600                                  |                                          |                                              | 312600                 | 25.10                                                                     | 312600.00                                                |             | 312600.00               | 25.10                                                                            | 0                                                                                                                    |                            |                                |                                                  |                                | 289100                                              |
| (C)      | Non Promoter - Non Public     |                      |                                         |                                          |                                              |                        |                                                                           |                                                          |             |                         |                                                                                  | 0                                                                                                                    |                            |                                |                                                  |                                |                                                     |
| (C1)     | Shares Underlying DRs         |                      |                                         |                                          |                                              |                        |                                                                           |                                                          |             |                         |                                                                                  | 0                                                                                                                    |                            |                                |                                                  |                                |                                                     |
| (C2)     | Shares Held By Employee Trust |                      |                                         |                                          |                                              |                        |                                                                           |                                                          |             |                         |                                                                                  | 0                                                                                                                    |                            |                                |                                                  |                                |                                                     |
|          | Total                         | 29                   | 1245600                                 |                                          |                                              | 1245600                | 100                                                                       | 1245600.00                                               |             | 1245600.00              | 100.00                                                                           | 0                                                                                                                    |                            |                                |                                                  |                                | 1222100                                             |





|            |                                                                             |           |    |        |        |       |        |        |       |       |        |
|------------|-----------------------------------------------------------------------------|-----------|----|--------|--------|-------|--------|--------|-------|-------|--------|
| <b>(a)</b> | <b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>         |           | 2  | 150000 | 150000 | 12.04 | 150000 | 150000 | 12.04 | 12.04 | 150000 |
|            | Jaidev Rajnikant Shroff                                                     | Promoters | 1  | 75000  | 75000  | 6.02  | 75000  | 75000  | 6.02  | 6.02  | 75000  |
|            | Vikram Rajnikant Shroff                                                     | Promoters | 1  | 75000  | 75000  | 6.02  | 75000  | 75000  | 6.02  | 6.02  | 75000  |
| <b>(b)</b> | <b>Government</b>                                                           |           |    |        |        |       |        |        |       |       |        |
| <b>(c)</b> | <b>Institutions</b>                                                         |           |    |        |        |       |        |        |       |       |        |
| <b>(d)</b> | <b>Foreign Portfolio Investor</b>                                           |           |    |        |        |       |        |        |       |       |        |
| <b>(e)</b> | <b>Any Other (Specify)</b>                                                  |           |    |        |        |       |        |        |       |       |        |
|            | <b>Sub Total (A)(2)</b>                                                     |           |    |        |        |       |        |        |       |       |        |
|            | <b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b> |           | 16 | 933000 | 933000 | 74.90 | 933000 | 933000 | 74.90 | 74.90 | 933000 |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



|     |                                                                                 |    |        |   |   |        |       |        |   |        |       |   |       |   |        |    |    |        |   |   |   |
|-----|---------------------------------------------------------------------------------|----|--------|---|---|--------|-------|--------|---|--------|-------|---|-------|---|--------|----|----|--------|---|---|---|
| (g) | i. Resident individual holding nominal share capital up to Rs. 2 lakhs.         | 3  | 37500  | 0 | 0 | 37500  | 3.01  | 37500  | 0 | 37500  | 3.01  | 0 | 3.01  | 0 | 0.0000 | NA | NA | 37500  | 0 | 0 | 0 |
|     | MUKUL B. TRIVEDI                                                                |    | 12500  |   |   | 12500  | 1     | 12500  |   | 12500  | 1     |   |       |   | 1      |    |    | 12500  |   |   |   |
|     | SANJEEV TRIVEDI                                                                 |    | 13000  |   |   | 13000  | 1.04  | 13000  |   | 13000  | 1.04  |   |       |   | 1.04   |    |    | 13000  |   |   |   |
| (h) | ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs. | 7  | 189500 | 0 | 0 | 189500 | 15.21 | 189500 | 0 | 189500 | 15.21 | 0 | 15.21 | 0 | 0.0000 | NA | NA | 166000 | 0 | 0 | 0 |
|     | SALIM GOVANI                                                                    |    | 25000  | 0 | 0 | 25000  | 2.01  | 25000  | 0 | 25000  | 2.01  | 0 | 2.01  | 0 | 0.0000 | NA | NA | 25000  |   |   |   |
|     | KANTI THACKER                                                                   |    | 47000  | 0 | 0 | 47000  | 3.77  | 47000  | 0 | 47000  | 3.77  | 0 | 3.77  | 0 | 0.0000 | NA | NA | 47000  |   |   |   |
|     | KARTIK BHATIA                                                                   |    | 23500  | 0 | 0 | 23500  | 1.88  | 23500  | 0 | 23500  | 1.88  | 0 | 1.88  | 0 | 0.0000 | NA | NA | 0      |   |   |   |
|     | BIPIN CHHEDA                                                                    |    | 23500  | 0 | 0 | 23500  | 1.89  | 23500  | 0 | 23500  | 1.89  | 0 | 1.89  | 0 | 0.0000 | NA | NA | 23500  |   |   |   |
|     | ABID NAGARIA                                                                    |    | 23500  | 0 | 0 | 23500  | 1.89  | 23500  | 0 | 23500  | 1.89  | 0 | 1.89  | 0 | 0.0000 | NA | NA | 23500  |   |   |   |
|     | BIPIN JANI                                                                      |    | 23500  | 0 | 0 | 23500  | 1.89  | 23500  | 0 | 23500  | 1.89  | 0 | 1.89  | 0 | 0.0000 | NA | NA | 23500  |   |   |   |
|     | JAWAHAR THACKER                                                                 |    | 23500  | 0 | 0 | 23500  | 1.89  | 23500  | 0 | 23500  | 1.89  | 0 | 1.89  | 0 | 0.0000 | NA | NA | 23500  |   |   |   |
| (i) | Non Resident Indians (NRIs)                                                     | 1  | 12100  | 0 | 0 | 12100  | 0.97  | 12100  | 0 | 12100  | 0.97  | 0 | 0.97  | 0 | 0.0000 | NA | NA | 12100  | 0 | 0 | 0 |
| (j) | Foreign Nationals                                                               |    |        |   |   |        |       |        |   |        |       |   |       |   |        |    |    |        |   |   |   |
| (k) | Foreign Companies                                                               |    |        |   |   |        |       |        |   |        |       |   |       |   |        |    |    |        |   |   |   |
| (l) | Bodies Corporate                                                                |    |        |   |   |        |       |        |   |        |       |   |       |   |        |    |    |        |   |   |   |
| (m) | Any Other (Specify)                                                             |    |        |   |   |        |       |        |   |        |       |   |       |   |        |    |    |        |   |   |   |
|     | Sub Total (B)(4)                                                                | 12 | 262600 | 0 | 0 | 262600 | 21.08 | 262600 | 0 | 262600 | 21.08 | 0 | 21.08 | 0 | 0      | 0  | 0  | 239100 |   |   |   |
|     | Total Public Shareholding (B)=<br>(B)(1)+(B)(2)+(B)(3)+b(4)                     | 13 | 312600 | 0 | 0 | 312600 | 25.1  | 312600 | 0 | 312600 | 25.1  | 0 | 25.1  | 0 | 0      | 0  | 0  | 289100 |   |   |   |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

| No. of shareholders | % |
|---------------------|---|
| 0                   |   |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders |
|---------------------|
| 0                   |

Note : \_\_\_\_\_

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling

(5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:

(i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director)on the board of the listed entity.

(ii) Shareholder who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

**NIVI Trading Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

|          | Category & Name of the shareholders                                                                                           | PAN | Nos. of shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities |             |       |                         | Shares Underlying Outstanding convertible securities (including | ing, as a % assuming full conversion of convertible securities | Number of Locked in shares |                                | Number of Shares pledged or otherwise encumbered |                                | Number of equity shares held in dematerialised form |
|----------|-------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|-----------------------------------------|-----------------------------------|----------------------------------------------|------------------------|----------------------------------------------------------------|----------------------------------------------------------|-------------|-------|-------------------------|-----------------------------------------------------------------|----------------------------------------------------------------|----------------------------|--------------------------------|--------------------------------------------------|--------------------------------|-----------------------------------------------------|
|          |                                                                                                                               |     |                      |                                         |                                   |                                              |                        |                                                                | No of Voting Rights                                      |             |       | Total as a % of (A+B+C) |                                                                 |                                                                | No. (a)                    | As a % of total Shares held(b) | No. (a)                                          | As a % of total Shares held(b) |                                                     |
|          |                                                                                                                               |     |                      |                                         |                                   |                                              |                        |                                                                | Class eg: X                                              | Class eg: y | Total |                         |                                                                 |                                                                |                            |                                |                                                  |                                |                                                     |
| <b>1</b> | Custodian/DR Holder                                                                                                           |     |                      |                                         |                                   |                                              |                        |                                                                |                                                          |             |       |                         |                                                                 |                                                                |                            |                                |                                                  |                                |                                                     |
| <b>2</b> | Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021 |     |                      |                                         |                                   |                                              |                        |                                                                |                                                          |             |       |                         |                                                                 |                                                                |                            |                                |                                                  |                                |                                                     |
|          | <b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>                                                         |     |                      |                                         |                                   |                                              |                        |                                                                |                                                          |             |       |                         |                                                                 |                                                                |                            |                                |                                                  |                                |                                                     |

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.



**Nivi Trading Limited**
**Table V - Significant Beneficial Owners**

| Sr. No. | Details of the SBO                                                                                    |                |                                                           | Details of the registered owners      |                |                                                           | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* |               |                                                            |                     |                                   | Date of creation/ acquisition of significant beneficial interest |
|---------|-------------------------------------------------------------------------------------------------------|----------------|-----------------------------------------------------------|---------------------------------------|----------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------|---------------|------------------------------------------------------------|---------------------|-----------------------------------|------------------------------------------------------------------|
|         | Name                                                                                                  | Nationality    | Nationality (Applicable in case of Any other is selected) | Name                                  | Nationality    | Nationality (Applicable in case of Any other is selected) | Whether by virtue of:                                                                                  |               |                                                            |                     |                                   |                                                                  |
|         |                                                                                                       |                |                                                           |                                       |                |                                                           | Shares                                                                                                 | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence |                                                                  |
| 1       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | UPL Limited                           | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 29-01-2004                                                       |
| 2       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Demuric Holdings Private Limited      | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 3       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Bloom Packaging Private Limited       | India          |                                                           | 15.01                                                                                                  | 15.01         | 15.01                                                      | No                  | No                                | 29-01-2004                                                       |
| 4       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Shatataraka Holdings Private Limited  | India          |                                                           | 3.69                                                                                                   | 3.69          | 3.69                                                       | No                  | No                                | 13-01-1994                                                       |
| 5       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Bipin Nandlal Jani (Jai Trust)        | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 6       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Rajju D. Shroff (Prakriya Pharmachem) | India          |                                                           | 2.01                                                                                                   | 2.01          | 2.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 7       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Rajju D. Shroff (Akruti Products)     | India          |                                                           | 2.01                                                                                                   | 2.01          | 2.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 8       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Rajju D. Shroff (Ultima Search)       | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 9       | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Esthetic Finvest Private Limited      | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 10      | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | R.Shroff Consultants Private Limited  | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 11      | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)                                 | United Kingdom |                                                           | Sandra R. Shroff (Sarjan Chemicals)   | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 12      | Sandra Rajnikant Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)  | United Kingdom |                                                           | Sandra Rajnikant Shroff               | United Kingdom |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 13      | Rajnikant Devidas Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff) | India          |                                                           | Rajnikant Devidas Shroff              | India          |                                                           | 4.01                                                                                                   | 4.01          | 4.01                                                       | No                  | No                                | 13-01-1994                                                       |
| 14      | Jaidev Rajnikant Shroff (Direct holding)                                                              | United Kingdom |                                                           | Jaidev Rajnikant Shroff               | United Kingdom |                                                           | 6.02                                                                                                   | 6.02          | 6.02                                                       | No                  | No                                | 13-01-1994                                                       |

|    |                                          |                |  |                         |                |  |      |      |  |      |    |    |            |
|----|------------------------------------------|----------------|--|-------------------------|----------------|--|------|------|--|------|----|----|------------|
| 15 | Vikram Rajnikant Shroff (Direct holding) | United Kingdom |  | Vikram Rajnikant Shroff | United Kingdom |  | 6.02 | 6.02 |  | 6.02 | No | No | 13-01-1994 |
|----|------------------------------------------|----------------|--|-------------------------|----------------|--|------|------|--|------|----|----|------------|

**Table VI - Statement showing foreign ownership limits**

| <b>Particular</b>                     | <b>Approved limits (%)</b> | <b>Limits utilized (%)</b> |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date               | 100                        | 0                          |
| As on the end of previous 1st quarter | 100                        | 0                          |
| As on the end of previous 2nd quarter | 100                        | 0                          |
| As on the end of previous 3rd quarter | 100                        | 0                          |
| As on the end of previous 4th quarter | 100                        | 0                          |

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.